

**Annexure**

**AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET**

This agreement made and entered into this ..... day of ..... between

.....  
having his/her office/residence address at.....

.....  
(hereinafter called 'the Client') and **Ashika Stock Broking Ltd.**, a body corporate constituted under the Companies Act, 1956 having its registered & corporate office at 1008, Raheja Centre, 10th Floor, 214, Nariman Point, Mumbai-400 021 and head office at Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata-700 020 (hereinafter called 'the Participant')

**Witnesseth**

WHEREAS the Client has permitted the Participant to provide the transaction statement through internet (web-based and/or email). NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and/or email), both the parties to the agreement hereby covenant and agree as follows:

**General :**

- 1. BO/Client(s) is/are aware that Transaction statements will not be received in the paper form. It will be received by email or on website.
- 2. The Client(s) shall immediately inform the DP about change in email address, if any.
- 3. BO/Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
- 4. BO/Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compounded.
- 5. If the Client(s) is/are desirous of receiving transaction statement in paper form, DP shall be duty bound to provide the same.

**E-mail :**

- 1. The transaction statements shall be digitally signed in accordance with the Information Technology Act, 2000.
- 2. The transaction statements will be password protected.

**Website :**

- 1. DP must implement Secure Socket Layer (SSL) security for their Internet facility featuring site authentication, encrypted communication and appropriate client authentication so as to restrict the access of transaction statements only to the Client. The transaction statements made available on website should be digitally signed in accordance with the Information Technology, 2000.
  - 2. DP will be required to send a consolidated transaction statement in paper form or in accordance form (e-mail) for the entire financial year and the same shall be dispatched before 15th May of every year.
  - 3. The transaction statement will be available on the website for a period of atleast 12 months.
- DP and Client(s) will have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party.

**IN WITNESS WHEREOF** the Participant and the Client has caused these presents to be executed as of the day and year first above written.

E-mail ID : \_\_\_\_\_  
(Please write only ONE valid e-mail ID on which communication; if any, is to be sent)

*Signed and delivered by*  
(For and on behalf of the Depository Participant)

**For Ashika Stock Broking Ltd.**

\_\_\_\_\_  
(Director / Authorised Signatory)

(For and on behalf of the Client)

**13/17**

\_\_\_\_\_  
First Holder

\_\_\_\_\_  
Second Holder

\_\_\_\_\_  
Third Holder

**Witness :**

Signature \_\_\_\_\_

Name \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_

**Witness :**

Signature \_\_\_\_\_

Name \_\_\_\_\_

Address \_\_\_\_\_  
\_\_\_\_\_

Signature of First Holder 12/17

Signature of Second Holder

Signature of Third Holder