

Undertaking for reactivation of trading account

(To be taken on the letterhead in case of non-individual client)

Date: _____

To,
Ashika Stock Broking Ltd.
226/1 AJC Bose Road
Kolkata -700 020

Sir,

I/we _____(name of the client-Individual/Non-individual), having trading account with Unique Client Code _____ allotted to me/us by your broking house situated at _____(branch name) since _____(date of activation of the account).

I/we am/are not trading since _____(last trade date). However, I/we am/are desirous to start trading again. In this regard, you are requested to reactivate my/our trading account and allow trading with immediate effect.

I/we hereby undertake that:

1. I/We have completed all the KYC formalities and submitted all the required documents thereof (Proof of Identity, Address Proof, Bank Proof, PAN, etc.), at the time of opening the trading account originally and enrolling as a client with you.
2. There are no changes in respect of my/our Address, Bank account, PAN details, as provided to you earlier. Further, there is no material change in the other information provided to you in KYC Form.

I/we declare that the information given above is true to my/our knowledge. I/we, therefore, request you that the requirement of fresh KYC may not be insisted upon.

Yours Faithfully,

(Name of the Individual Client) / For (Name of the Non-individual client)

(Sign. of the Authorized Signatory - Designated Director/Managing Partner/Karta/Proprietor/Individual)

(Voluntary)

From:

Name : _____

Address: _____

Date: _____

To

M/s. Ashika Stock Broking Limited

"Trinity"

226/1, A. J. C. Bose Road,

7th Floor,

Kolkata – 700020

Dear Sir,

Ref : Mandate to issue Contract Notes and Quarterly Statement in Electronic Format

I/We hereby agree and consent to accept the Contract Notes for transaction carried on by me/us with you and the quarterly statements of funds and securities (hereinafter referred to as "quarterly statement"), in terms of the agreement entered into between us, in electronic form, Electronic Contract Notes & quarterly statements issued by you as per the terms and conditions specified hereunder shall be binding on me/us. I/We undertake to check the Contract Notes & quarterly statements and bring the discrepancies to your notice within 24 hours and 30 days respectively of such issuance of Contract Notes & quarterly statements. My/our non-verification or not accessing the Contract Notes and quarterly statements on regular basis shall not be a reason for disputing the Contract Notes & quarterly statement at any time. The mandate is subject to terms and conditions mentioned herein below:

This instruction to issue digital contract notes & quarterly statements is applicable with immediate effect.

Yours faithfully,

Signature of the Client

E-mail Id: _____

Client Code _____

Tel. No. _____

Mobile. _____

Dear Customer,

We thank you very much for opting for our offer to send you the Contract Notes & quarterly statements in the electronic form. Terms and conditions for availing the facility of the electronic Contract Notes & quarterly statements digitally signed are as follows. Please sign it as an acceptance to it.

1. The client will ensure availability of the above mentioned e-mail ID at all times.
2. That the non-receipt of bounced e-mail notification by ASBL once ECNs / Quarterly Statement / Margin Statement are sent to Client's above mentioned e-mail ID can be safely taken of having been received .
3. The client shall update for any change in e-mail ID through a duly executed physical letter.
4. The Contract Notes, Daily margin statement & Quarterly Statements will be issued in electronic form in compliance with the guidelines issued by SEBI / Exchange from time to time.
5. Electronic Contract Notes, Daily margin statement & Quarterly statements will also be available on URL **<https://www.ashikadirect.com>**
6. Clients can view the electronic Contract Notes, Daily margin statement & Quarterly statements on URL by using the username & password.
7. Electronic contract Notes will be archived at an interval of 15 days. If client intends to view the electronic Contract Notes for a period prior to 15 days, client may request for the same in writing.
8. In case of any failure in system or errors in electronic Contract Notes, daily margin statement and quarterly statements, will be issued in physical form, which shall be binding on the client.
9. Any changes in the terms and conditions shall be intimated from time to time.