



ASHIKA STOCK BROKING LIMITED

Depository Participant of Central Depository Services (I) Ltd.
 Regd. & Corporate Office : 1008, 10th Fl., Raheja Centre, 214, Nariman Point
 Mumbai-400 021 • Ph. : (022) 6611-1700 • Fax : (022) 6611-1710
 Head Office : Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata-700020
 Ph. : 2283-9952 • Fax : 2289-1555 • E-Mail : dpservices@ashikagroup.com
 DP ID No. 12034500, SEBI Regn. No. : IN-DP-CDSL-250-2004

APPLICATION FORM FOR OPENING DEMAT ACCOUNT

(To be filled by the Depository Participant) Individual NRI Foreign National

Application No.											Date	D	D	M	M	Y	Y	Y	Y
DP Internal Ref. No.																			
DP ID	1	2	0	3	4	5	0	0	Client ID										

(To be filled by the applicant in **BLOCK LETTERS** in English). **Signature should be preferably in Black ink.**

I / We request you to open a Demat Account in my / our name as per the following details :

Type of Account (Please tick whichever is applicable)

Status	Sub-Status		
<input type="checkbox"/> Individual	<input type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter <input type="checkbox"/> Individual Margin Trading A/C (MANTRA)	<input type="checkbox"/> Individual Director <input type="checkbox"/> Individual HUF / AOP <input type="checkbox"/> Others (specify) _____	
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repartiable <input type="checkbox"/> NRI Repartiable Promoter	<input type="checkbox"/> NRI Depository Receipts <input type="checkbox"/> NRI Non-Repartiable	<input type="checkbox"/> NRI Non-Repartiable Promoter <input type="checkbox"/> Others (specify) _____
<input type="checkbox"/> Foreign	<input type="checkbox"/> Foreign National <input type="checkbox"/> Foreign National - Depository Receipts <input type="checkbox"/> Others (specify) _____		

SOLE / FIRST HOLDER'S DETAILS

Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other			Suffix								
First Name				Nationality	<input type="checkbox"/> Indian							
Middle Name					<input type="checkbox"/> Others							
Last Name				Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female							
Father's/Husband's Name				DOB	D	D	M	M	Y	Y	Y	Y
Correspondence Address											
City				State								
Country				PIN								
Telephone No.	Fax No.			Mobile No.								
PAN				E-mail ID								
Permanent Address											
(if different from Correspondence Address)												
City				State								
Country				PIN								
Telephone No.				Mobile No.								
E-mail ID												
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Cent Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify).....											
Nature of business : (Products / services provided)												
Income Range per annum : <input type="checkbox"/> UP to Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac to 2 Lacs <input type="checkbox"/> Rs. 2 Lacs to 5 Lacs <input type="checkbox"/> More than Rs. 5 Lacs												

I/We instruct the DP to receive each and every credit in my / our account	[Automatic Credit]	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Account Statement Requirement	<input type="checkbox"/> Daily	<input type="checkbox"/> Weekly	<input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly



JOINT HOLDERS / SECOND HOLDER'S DETAILS

Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	Suffix	
First Name		Nationality	<input type="checkbox"/> Indian
Middle Name			<input type="checkbox"/> Others
Last Name		Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Father's/Husband's Name		D O B	D D M M Y Y Y Y
Permanent Address		
City		State	
Country		PIN	
Telephone No.	Fax No.	Mobile No.	
PAN		E-mail ID	
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Cent Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify).....		
Nature of business : (Products / services provided)			
Income Range per annum : <input type="checkbox"/> UP to Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac to 2 Lacs <input type="checkbox"/> Rs. Above 2 Lacs to 5 Lacs <input type="checkbox"/> More than Rs. 5 Lacs			

JOINT HOLDERS / THIRD HOLDER'S DETAILS

Title	<input type="checkbox"/> Mr. <input type="checkbox"/> Mrs. <input type="checkbox"/> Ms. <input type="checkbox"/> Other	Suffix	
First Name		Nationality	<input type="checkbox"/> Indian
Middle Name			<input type="checkbox"/> Others
Last Name		Sex	<input type="checkbox"/> Male <input type="checkbox"/> Female
Father's/Husband's Name		D O B	D D M M Y Y Y Y
Permanent Address		
City		State	
Country		PIN	
Telephone No.	Fax No.	Mobile No.	
PAN		E-mail ID	
Occupation	<input type="checkbox"/> Service { <input type="checkbox"/> Cent Govt. <input type="checkbox"/> State Govt. <input type="checkbox"/> Public / Private Sector <input type="checkbox"/> NGO <input type="checkbox"/> Statutory Body } <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Housewife <input type="checkbox"/> Others (Specify).....		
Nature of business : (Products / services provided)			
Income Range per annum : <input type="checkbox"/> UP to Rs. 1 Lac <input type="checkbox"/> Rs. 1 Lac to 2 Lacs <input type="checkbox"/> Rs. Above 2 Lacs to 5 Lacs <input type="checkbox"/> More than Rs. 5 Lacs			

BANK DETAILS (DIVIDEND BANK DETAILS)

Bank Code (9 Digit MICR Code)	
Bank Name	
Branch	
Bank Address
City	State Country PIN
Account Number	Account Type <input type="checkbox"/> Saving <input type="checkbox"/> Current <input type="checkbox"/> Cash Credit <input type="checkbox"/> Other (Specify) _____

Photocopy of the cancelled cheque having the name of the account holder where the cheque book is issued, (or) Photocopy of the Bank Statement having name and address of the BO and not more than 4 months old, (or) Photocopy of the Passbook having name and address of the BO, (or) Letter from the Bank.
In case of options (ii), (iii) and (iv) above, MICR code of the branch should be present / mentioned on the document and it should be self-certified by the BO.



GUARDIAN DETAILS

Guardian's Name			
Relationship			
Address			
City		State	
Country		Pin Code	
Telephone No.		Fax No.	
PAN		Mobile No.	

FOR NRIS / FOREIGN NATIONAL (ONLY IF THE FIRST / SOLE HOLDER IS A NRI / FOREIGN NATIONAL)

Foreign Address	Indian Address
City		City	
Country		Country	
Pin Code		Pin Code	
Tel. No.		Tel. No.	
Fax No.		Fax No.	
Currency		Currency	
RBI Reference No.		RBI Reference No.	

I/We have read the terms & conditions DP-BO agreement and agree to abide by and be bound by the same and by the Bye Laws as are in force for time to time. I / We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by / us in this form. I / We further agree that any false / misleading information given by me / us or suppression of any material information will render my account liable for termination and suitable action.

	First/Sole Holder	Second Joint Holder	Third Joint Holder
Name			
Signature	1/14		

First / Sole Signatory

2/14

Passport size Photograph
(Please Sign across the Photograph)

Second Signatory

Passport size Photograph
(Please Sign across the Photograph)

Third Signatory

Passport size Photograph
(Please Sign across the Photograph)

(Signatures should be preferably in black ink) (In case of minor holder, photograph of guardian has to be affixed along with minor's photographs.)

Name*	_____
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* In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust etc. although the account is opened in the name of the natural persons, the name of the Firm, Association of Person (AOP), Partnership Firm, Unregistered Trust etc. should be mentioned above.



ACKNOWLEDGEMENT

ASHIKA STOCK BROKING LIMITED
Depository Participant of Central Depository Services (I) Ltd.



Application No. : _____ Date _____

We hereby acknowledge the receipt of the Account Opening Form From :

Name of the Sole / First Holder
Name of the Second Holder
Name of the Third Holder

If you need any further clarification / information please feel free to call us. Depository Participant Seal and Signature

**AGREEMENT BETWEEN A PARTICIPANT AND A PERSON SEEKING TO
OPEN A BENEFICIAL OWNER'S ACCOUNT**

This Agreement made and entered into at this day of, between ASHIKA STOCK BROKING LTD. a body corporate constituted under the companies Act, 1956 having its registered & corporate office at 1008, 10th Floor, Raheja Centre, 214, Nariman Point, Mumbai-400 021 and head office at Trinity, 226/1, AJC Bose Road, 7th Floor, Kolkata-700 020, here in after called "the Participant" of the One Part; AND, (description of the legal entity) having his / her / its office / registered office / address at

here in after called "the Beneficial Owner" of the Other Part.

WHEREAS the Participant has been granted a certificate of registration bearing number IN-DP-CDSL-250-2004 dated June 14, 2004 by the Securities and Exchange Board of India (SEBI) as a participant and under section 12 (IA) of the Securities and Exchange Board of India Act 1992 read with regulation 20 of the Securities and Exchange Board of India (Depositories and Participant) Regulations 1996 and has been admitted by Central Depository Services (India) Limited (hereinafter called "CDSL") as a Participant.

AND WHEREAS the Beneficial Owner has furnished to the Participant an application in the specified form requesting the Participant to open a beneficial owner's account with the Participant. WHEREAS the Beneficial Owner is desirous of opening a beneficial owner's account with the Participant and the Participant has agreed to open an account in the name of the Beneficial Owner and render services to the Beneficial Owner as a participant, on the terms and conditions recorded hereinbelow

NOW THIS AGREEMENT WITNESSETH AND IT IS HEREBY AGREED BY AND BETWEEN THE PARTIES HERETO AS FOLLOWS :

1. General Clause

The parties hereto agree to abide by the provisions of the Depositories Act, 1996, SEBI (Depositories and Participants) Regulations, 1996, Bye Laws and Operating Instructions issued by CDSL from time to time in the same manner and to the same extent as if the same were set out herein and formed part of this Agreement.

2. Fees, Charges and deposits

The Participant shall be entitled to change or revise the fees, charges or deposits from time to time provided however that no increase therein shall be effected by the Participant unless the Participant shall have given at least one month's notice in writing to the Beneficial Owner in that behalf. In case the Beneficial Owner committing a default in the payment of any such amount payable to the Participant on their respective due dates or within fifteen days of the same being demanded (where no such due date is specified), the Participant shall be entitled to charge interest on the amount remaining outstanding or unpaid 1 (not exceeding 18%) per annum or part thereof. On such continued default, the Participant after giving two days notice to the Beneficial Owner shall have a right to stop processing of instructions of Beneficial Owners till such time he makes the payment along with interest if any.

3. Statement of Account

The Participant shall furnish to the Beneficial Owner a statement of his/its account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each 1 (quarter). Such statement shall be in the form specified in Operating Instructions. The Participant shall furnish such statements at such shorter periods as may be required by the Beneficial Owner on payment of such charges by the Beneficial Owner as may be specified by the Participant.

4. Beneficial Owner shall intimate change of particulars

The Participant shall not be liable or responsible for any loss that may be caused to the Beneficial Owner by reason of his/its failure to intimate change in the particulars furnished to the Participant from time to time, unless notified by the Beneficial Owner.

5. Participant not liable for claims against Beneficial Owner

CDSL shall not be liable to the Beneficial Owner in any manner towards losses, liabilities and/or expenses arising from the claims of third parties or for any fees, charges, taxes, duties, levies or penalties levied, imposed or demanded by any Central, State, statutory or revenue authority in respect of securities credited to the Beneficial Owner's account.

Signature of First Holder 5/14

Signature of Second Holder

Signature of Third Holder

Signature of First Holder

Signature of Second Holder

Signature of Third Holder

6. Authorised Representative

Where the Beneficial Owner is a body corporate or a legal entity, it shall, simultaneously with the execution of the Agreement furnish to the Participant, a list of officials authorized by it, who shall represent and interact on its behalf with the Participant. Any change in such list including additions, deletions or alterations thereto shall be forthwith communicated to the Participant.

7. Closure of Account

The Beneficial Owner, may, at any time terminate this Agreement by calling upon the Participant to close his/its account with the Participant in the manner and on the terms and conditions set out in the Bye Laws and the procedure laid down in the Operating Instructions. In the event of termination of this Agreement the Beneficial Owner shall either get the securities transferred to some other account or get the same rematerialised.

8. Stamp Duty

Any stamp duty (including interest or penalty levied thereon) payable on the Agreement and/or on any deed, document or writing executed in pursuance hereof between the parties hereto shall be borne and paid by the Beneficial Owner.

9. Force Majeure

Notwithstanding anything contained herein or in the Bye Laws, neither party hereto shall be liable to indemnify or compensate the other for any breach, non-performance or delay in performance of any obligations under the Agreement or for any harm, loss, damage or Injury, caused to the other due to causes reasonably beyond its control including but not limited to tide, storm, cyclone, flood, lightning, earthquake, fire, blast, explosion or any other act of God, war, rebellion, revolution, insurrection, embargo or sanction, blockade, riot, civil commotion, labour action or unrest including strike, lock-out or boycott, interruption or failure of any utility service, enemy action, criminal conspiracy, act of terrorism or vandalism, sabotage, or intrusion, or any other irresistible force or compulsion.

10. Service of Notice

Any notice or communication required to be given under the Agreement shall not be binding unless the same is in writing and shall have been served by delivering the same at the address set out hereinabove against a written acknowledgement of receipt thereof or by sending the same by pre-paid registered post at the aforesaid address or transmitting the same by facsimile transmission, electronic mail or electronic data transfer at number or address that shall have been previously specified by the party to be notified. Notice given by personal delivery shall be deemed to be given at the time of delivery. Notice given by post in accordance with this clause shall be deemed to be given at the commencement of business of the recipient of the notice on the third working day next following its posting. Notice sent by facsimile transmission, electronic mail or electronic data transfer shall be deemed to be given at the time of its actual transmission.

11. Arbitration

The parties hereto shall, in respect of all disputes and differences that may arise between them, abide by the provisions relating to arbitration and conciliation specified under the Bye-Laws.

12. Jurisdiction

The parties hereto agree to submit to the exclusive jurisdiction of the courts in KOLKATA.

13. Governing Law

The Agreement shall be governed by and construed in accordance with the laws in force in India.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seats to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

SIGNED AND DELIVERED

*Signed and delivered by
(For and on behalf of the Depository Participant)*

For Ashika Stock Broking Ltd.

(Director / Authorised Signatory)

(For and on behalf of the Client)

6/14

First Holder

Second Holder

Third Holder

Witness :

Signature _____

Name _____

Address _____

Witness :

Signature _____

Name _____

Address _____

POWER OF ATTORNEY (FOR AUTO PAY-IN)

IN FAVOUR OF ASHIKA STOCK BROKING LTD. (DP ID 12034500) FOR OPERATING THE DEMAT ACCOUNT TO ALL TO WHOM THESE PRESENTS SHALL COME, I /We

(name) _____.

having residence/office at _____.

WHEREAS I/We wish to participate in the trading facilities offered by Ashika Stock Broking Ltd, Stock broker having Sebi Registration No. INB 230833437 and INB 010833433 and having its registered & corporate office at 1008, 10th Floor, Raheja Centre, 214, Nariman Point, Mumbai-400 021 and head office at 226/1, A.J.C. Bose Road, 7th Floor, Kolkata-20 (or any of its assigns).

AND WHEREAS I/We have entered into the Member-Client Agreement (the 'Agreement') with Ashika Stock Broking Ltd for availing of the trading facilities offered by Ashika Stock Broking Ltd at NATIONAL STOCK EXCHANGE OF INDIA LTD. and THE STOCK EXCHANGE MUMBAI under Client Code no. _____.

AND WHEREAS to facilitate proper execution of all SALE transactions undertaken by ME/US pursuant to the Agreement, I/WE am/are desirous of appointing an Attorney and confer upon the said Attorney the powers hereinafter stated.

NOW THESE PRESENT WITNESS that I/WE do hereby irrevocably, nominate, constitute and appoint Ashika Stock Broking Ltd. ("the Attorney") to be our true and lawful Attorney in my/our name and on my/our behalf to execute, do all or any of the following acts, deeds or things mentioned hereunder, that is to say :

- To debit and to give delivery instructions, and to do all such things that may be necessary for smooth delivery of securities in the course of business relating to the designated Demat account no. **1 2 0 3 4 5 0 0** _____ with Ashika Stock Broking Ltd. towards the obligation for transactions done on the National Stock Exchange and/or The Stock Exchange, Mumbai through Ashika Stock Broking Ltd. on my/our instructions under contract note issued by Ashika Stock Broking Ltd. in its capacity as a NSE and or BSE broker.
- To do all such acts, deeds and things, as may be necessary and expedient for or incidental to ensure that delivery of securities arising out of transaction undertaken by me/us under the Agreement are duly and properly completed.

AND I/WE DO HEREBY for myself/ourself, my heirs, executors and administrator agrees to ratify and confirm all and whatsoever my said Attorney shall do or purport to do or cause to be done by virtue of these present.

AND I/WE DO HEREBY CONFIRM AND DECLARE THAT this Power of Attorney shall be valid, effective operative and irrevocable (being power coupled with interest) and shall remain in force till the termination of the Agreement that I/We have entered into with Ashika Stock Broking Ltd. and satisfaction in full of all the obligations under the same, and the cessation of this authority shall not affect or impair any act thereto done in exercise of these presents.

AND I/WE DO HEREBY FURTHER CONFIRM AND DECLARE THAT This Power of Attorney is and shall remain valid only for the delivery instructions relating to pay in of securities which are or may be necessary towards obligations for transactions done on the National Stock Exchange and or The Stock Exchange Mumbai through Ashika Stock Broking Ltd. and all other transactions in the above mentioned demat account shall be executed upon instruction by me/us.

Made at KOLKATA on this _____.

IN WITNESS WHEREOF I/WE have hereunto set and subscribed my respective hands to these presents the day and the year herein above written.

Signed and delivered by
(For and on behalf of the Depository Participant)

Witness :

Signature _____

For **Ashika Stock Broking Ltd.**

Name _____

Address _____

(Director / Authorised Signatory)

(For and on behalf of the Client)

Witness :

Signature _____

7/14

First Holder

Name _____

Second Holder

Address _____

Third Holder

Annexure

AGREEMENT BETWEEN THE PARTICIPANT AND THE CLIENT FOR PROVIDING THE TRANSACTION STATEMENT THROUGH INTERNET

This agreement made and entered into this day of between

.....
 having his/her office/residence address at.....

(hereinafter called 'the Client') and **Ashika Stock Broking Ltd.**, a body corporate constituted under the Companies Act, 1956 having its registered & corporate office at 1008, Raheja Centre, 10th Floor, 214, Nariman Point, Mumbai-400 021 and head office at Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata-700 020 (hereinafter called 'the Participant')

Witnesseth

WHEREAS the Client has permitted the Participant to provide the transaction statement through internet (web-based and/or email). NOW THEREFORE in consideration of the Participant having agreed to provide the transaction statement through internet (web-based and/or email), both the parties to the agreement hereby covenant and agree as follows:

General :

1. BO/Client(s) is/are aware that Transaction statements will not be received in the paper form. It will be received by email or on website.
2. The Client(s) shall immediately inform the DP about change in email address, if any.
3. BO/Client(s) will take all the necessary steps to ensure confidentiality and secrecy of the login name and password of the internet/email account.
4. BO/Client(s) is/are aware that the transaction statement may be accessed by other entities in case the confidentiality/secrecy of the login name and password is compounded.
5. If the Client(s) is/are desirous of receiving transaction statement in paper form, DP shall be duty bound to provide the same.

E-mail :

1. The transaction statements shall be digitally signed in accordance with the Information Technology Act, 2000.
2. The transaction statements will be password protected.

Website :

1. DP must implement Secure Socket Layer (SSL) security for their Internet facility featuring site authentication, encrypted communication and appropriate client authentication so as to restrict the access of transaction statements only to the Client. The transaction statements made available on website should be digitally signed in accordance with the Information Technology, 2000.
2. DP will be required to send a consolidated transaction statement in paper form or in accordance form (e-mail) for the entire financial year and the same shall be dispatched before 15th May of every year.
3. The transaction statement will be available on the website for a period of atleast 12 months.

DP and Client(s) will have the right to terminate such service provided a written notice is given atleast 10 days in advance to the other party.

IN WITNESS WHEREOF the Participant and the Client has caused these presents to be executed as of the day and year first above written.

Signed and delivered by
(For and on behalf of the Depository Participant)

Witness :
 Signature _____

For Ashika Stock Broking Ltd.

Name _____

Address _____

 (Director / Authorised Signatory)

(For and on behalf of the Client)

Witness :
 Signature _____

 First Holder

Name _____

 Second Holder

Address _____

 Third Holder

Signature of First Holder 9/14

Signature of Second Holder

Signature of Third Holder 10/14

ADDITIONAL DETAILS

easi	<input type="checkbox"/> Yes If Yes, please contact your DP for details. [Facility through CDSL's website : www.cdslindia.com wherein a BO can view his ISIN balances, transactions and value of the portfolio online]	<input type="checkbox"/> No
SMS Alert Facility	<input type="checkbox"/> Yes MOBILE NO. +91 _____ Refer to Terms & Conditions given as Annexure-A	<input type="checkbox"/> No

ANNEXURE -A

Terms And Conditions-cum-Registration / Modification Form for receiving SMS Alerts from CDSL

Definitions:

In these Terms and Conditions the terms shall have following meaning unless indicated otherwise:

1. "Depository" means Central Depository Services (India) Limited a company incorporated in India under the Companies Act 1956 and having its registered office at 17th Floor, P.J. Towers, Dalal Street, Fort, Mumbai 400001 and all its branch offices and includes its successors and assigns.
2. 'DP' means Depository Participant of CDSL. The term covers all types of DPs who are allowed to open demat accounts for investors.
3. 'BO' means an entity that has opened a demat account with the depository. The term covers all types of demat accounts, which can be opened with a depository as specified by the depository from time to time.
4. SMS means "Short Messaging Service"
5. "Alerts" means a customized SMS sent to the BO over the said mobile phone number.
6. "Service Provider" means a cellular service provider(s) with whom the depository has entered / will be entering into an arrangement for providing the SMS alerts to the BO.
7. "Service" means the service of providing SMS alerts to the BO on best effort basis as per these terms and conditions.

Availability:

1. The service will be provided to the BO at his / her request and at the discretion of the depository. The service will be available to those account holders who have provided their mobile numbers to the depository through their DP. The services may be discontinued for a specific period / indefinite period, with or without issuing any prior notice for the purpose of security reasons or system maintenance or for such other reasons as may be warranted. The depository may also discontinue the service at any time without giving prior notice for any reason whatsoever.
2. The service is currently available to the BOs who are residing in India.
3. The alerts will be provided to the BOs only if they remain within the range of the service provider's service area or within the range forming part of the roaming network of the service provider.
4. In case of joint accounts and non-individual accounts the service will be available, only to one mobile number i.e. to the mobile number as submitted at the time of registration / modification.
5. The BO is responsible for promptly intimating to the depository in the prescribed manner any change in mobile number, or loss of handset, on which the BO wants to receive the alerts from the depository. In case of change in mobile number not intimated to the depository, the SMS alerts will continue to be sent to the last registered mobile phone number. The BO agrees to indemnify the depository for any loss or damage suffered by it on account of SMS alerts sent on such mobile number.

Receiving Alerts:

1. The depository shall send the alerts to the mobile phone number provided by the BO while registering for the service or to any such number replaced and informed by the BO from time to time. Upon such registration / change, the depository shall make every effort to update the change in mobile number within a reasonable period of time. The depository shall not be responsible for any event of delay or loss of message in this regard.
2. The BO acknowledges that the alerts will be received only if the mobile phone is in 'ON' and in a mode to receive the SMS. If the mobile phone is in 'Off' mode i.e. unable to receive the alerts then the BO may not get / get after delay any alerts sent during such period.
3. The BO also acknowledges that the readability, accuracy and timeliness of providing the service depend on many factors including the infrastructure, connectivity of the service provider. The depository shall not be responsible for any non-delivery, delayed delivery or distortion of the alert in any way whatsoever.
4. The BO further acknowledges that the service provided to him is an additional facility provided for his convenience and is susceptible to error, omission and/ or inaccuracy. In case the BO observes any error in the information provided in the alert, the BO shall inform the depository and/ or the DP immediately in writing and the depository will make best possible efforts to rectify the error as early as possible. The BO shall not hold the depository liable for any loss, damages, etc. that may be incurred/ suffered by the BO on account of opting to avail SMS alerts facility.
5. The BO authorizes the depository to send any message such as promotional, greeting or any other message that the depository may consider appropriate, to the BO. The BO agrees to an ongoing confirmation for use of name, email address and mobile number for marketing offers between CDSL and any other entity.
6. **The BO agrees to inform the depository and DP in writing of any unauthorized debit to his BO account/ unauthorized transfer of securities from his BO account, immediately, which may come to his knowledge on receiving SMS alerts. The BO may send an email to CDSL at complaints@cdslindia.com. The BO is advised not to inform the service provider about any such unauthorized debit to/ transfer of securities from his BO account by sending a SMS back to the service provider as there is no reverse communication between the service provider and the depository.**
7. The information sent as an alert on the mobile phone number shall be deemed to have been received by the BO and the depository shall not be under any obligation to confirm the authenticity of the person(s) receiving the alert.
8. The depository will make best efforts to provide the service. The BO cannot hold the depository liable for non-availability of the service in any manner whatsoever.
9. If the BO finds that the information such as mobile number etc., has been changed with out proper authorization, the BO should immediately inform the DP in writing.

Fees:

Depository reserves the right to charge such fees from time to time as it deems fit for providing this service to the BO.

Disclaimer:

The depository shall make reasonable efforts to ensure that the BO's personal information is kept confidential. The depository does not warranty the confidentiality or security of the SMS alerts transmitted through a service provider. Further, the depository makes no warranty or representation of any kind in relation to the system and the network or their function or their performance or for any loss or damage whenever and howsoever suffered or incurred by the BO or by any person resulting from or in connection with availing of SMS alerts facility. The Depository gives no warranty with respect to the quality of the service provided by the service provider. The Depository will not be liable for any unauthorized use or access to the information and/ or SMS alert sent on the mobile phone number of the BO or for fraudulent, duplicate or erroneous use/ misuse of such information by any third person.

Liability and Indemnity:

The Depository shall not be liable for any breach of confidentiality by the service provider or by any third person due to unauthorized access to the information meant for the BO. In consideration of the depository providing the service, the BO agrees to indemnify and keep safe, harmless and indemnified the depository and its officials from any damages, claims, demands, proceedings, loss, cost, charges and expenses whatsoever which a depository may at any time incur, sustain, suffer or be put to as a consequence of or arising out of interference with or misuse, improper or fraudulent use of the service by the BO.

Amendments:

The depository may amend the terms and conditions at any time with or without giving any prior notice to the BOs. Any such amendments shall be binding on the BOs who are already registered as user of this service.

Governing Law and Jurisdiction:

Providing the Service as outlined above shall be governed by the laws of India and will be subject to the exclusive jurisdiction of the courts in Mumbai.

I/We wish to avail the SMS Alerts facility provided by the depository on my/our mobile number provided in the registration form subject to the terms and conditions

mentioned below. **I/ We consent to CDSL providing to the service provider such information pertaining to account/transactions in my/our account as is necessary for the purposes of generating SMS Alerts by service provider, to be sent to the said mobile number.**

I/We have read and understood the terms and conditions mentioned above and agree to abide by them and any amendments thereto made by the depository from time to time. I/ we further undertake to pay fee/ charges as may be levied by the depository from time to time.

I/ We further understand that the SMS alerts would be sent for a maximum four ISINs at a time. If more than four debits take place, the BOs would be required to take up the matter with their DP.

BOID

1	2	0	3	4	5	0	0												
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--	--	--

(Please write you 8 digit DPID)

(Please write you 8 digit Client ID)

Sole / First Holder's Name : _____

Second Holder's Name : _____

Third Holder's Name : _____

Mobile Number on which message are to be sent

+91																			
-----	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--	--

(Please write only the mobile number without prefixing country code or zero)

The mobile number is registered in the name of : _____

E-mail ID : _____

(Please write only ONE valid e-mail ID on which communication; if any, is to be sent)

11/14

Signature

Sole/First Holder

Second Holder

Third Holder

Place : _____

Date : _____

TO BE GIVEN BY GUARDIAN

(In case of account opening or minor)

Dated : _____

To
ASHIKA STOCK BROKING LIMITED

Head Office :

Trinity, 226/1, A.J.C. Bose Road
7th Floor, Kolkata-700020

Dear Sir,

This is with reference to the account opened in the name of Minor
U/G of Mr./Ms. with you for the purposes of
opening a depository account with CDSL.

With reference to the aforementioned Depository account, you are requested to kindly accept issue / receipt of
payments relating to funds / transfer of securities to / from the Trading A/c. of my son / daughter Master / Ms.
..... as per the details given below for all future dealings in the Depository account.

BANK DETAILS

Bank Account No.
Bank Name
Branch

TRADING DETAILS (if any)

Trading ID No.

Thanking you,

Yours faithfully

Signature of the Constituent / Guardian

DECLARATION

Ashika Stock Broking Ltd.

Member : CDSL/BSE/NSE

Head Office :

Trinity
226/1, A.J.C. Bose Road
7th Floor, Kolkata-700020

SEBI Registration No. : INB-230833437, INB-010833433 & IN-DP-CDSL-250-2004

I/We _____

having Bold

1	2	0	3	4	5	0	0										
---	---	---	---	---	---	---	---	--	--	--	--	--	--	--	--	--	--

And client code _____ at my/our Registered address _____

_____, do hereby declare that due to purchase or sale of shares done or to be done by
me/us through **Ashika Stock Broking Ltd.**, if any dues lying with any of the exchange in my code or in my demat account,
I/We do hereby authorise you to retain my/our shares till the clearance of the dues.

12/14

Signature of First Holder

Signature of Second Holder

Signature of Third Holder

Place : _____

Date : _____

AUTHORITY LETTER IN CASE OF HUF

To
ASHIKA STOCK BROKING LIMITED
Trinity, 226/1, A.J.C. Bose Road
7th Floor, Kolkata-700020

I, Shis the present Karta / Manager of the said joint Family.

I declare that the said members given below are in my Hindu Undivided Family [HUF].

Sl. No.	Member's Name	Relation with Karta	Age	Signature of Member
1.				
2.				
3.				
4.				
5.				

We confirm that the affairs of the said Joint Family and the business of the said H.U.F. firm are carried on mainly by the Karta / manager, the said Sh. on behalf and in the interest and for the benefit of all the co-parceners/members of the said joint family.

We hereby authorise(name of the Karta) to open a beneficiary Account on behalf of HUF with the Depository participant Ashika Stock Broking Ltd. for dematerialization/rematerialisation of securities held in the name of the HUF. He is further authorised to sign, execute and submit such applications, undertakings and other requisite documents, writings and deeds as may be deemed necessary to expedient to open account and give effect to this purpose. The said Depository Participant is hereby authorized to honour all instructions oral or written, given on behalf of HUF by him. However any member of the HUF can issue cheque in favour of Ashika Stock Broking Ltd. even though their signatures may not be available on the records of M/s Ashika Stock Broking Ltd., solely/exclusively for the account of the HUF maintained with them.

13/14

Karta's Signature With Stamp

GENERAL INSTRUCTIONS

1. All communications shall be sent to the correspondence address of the Sole / First Holder only.
2. In case of additional signatures, separate annexures should be attached to the application form.
3. Thumb impressions and signatures other than English or Hindi or any of the other languages not contained in the 8th Schedule of the Constitution of India must be attested by a Magistrate or a Notary Public or a Special Executive Magistrate, under his/her official seal.
4. Details of the Names, Address and Telephone Nos. etc. of the Magistrate / Notary Public / Special Executive Magistrate are to be provided in case of any attestation done by them.
5. In case of applications under a Power of Attorney, the relevant Power of Attorney or the certified and duly notarised copy thereof, name of the POA, Signature of the POA, must be lodged with the DP alongwith the application.
6. All holders of the account will be required to sign all the depository instructions / correspondence given to us in future.
7. Where the holder is minor, person lawfully entitled to act on behalf of the minor should sign the nomination.
8. In case you wish to nominate someone, a separate nomination form needs to be filled up.
9. The information in the form will be kept confidential. However, if the information is required by any government agency, it may be given to them.
10. Any extra/additional information must be provided in writing along with the application form.
11. Please note that incomplete applications will not be accepted.
12. All account holders to sign at underlined places.
Strike out whichever is not applicable.

CHECK LIST

Sr. No.	Category	Information / Documents to be submitted with signature of BO	✓ / X
1.	Individual	<p>Proof of Identity for all the holders:</p> <p>(i) Pan Card Mandatory (Pan application letter not acceptable) should be verified from website of income tax department,</p> <p>(ii) Photocopy of Passport</p> <p>(iii) Photocopy of voters ID</p> <p>(iv) Photocopy of Driving License card</p> <p>Proof Of Address'for all the holders :</p> <p>(i) Photocopy of Ration Card.</p> <p>(ii) Photocopy of Voter ID</p> <p>(iii) Photocopy of Driving license</p> <p>(iv) Photocopy of Bank Passbook having name & address of BO.</p> <p>(v) Photocopy of Bank Statement having name & address of BO and not more than 4 months old</p> <p>(vi) Electricity Bill, Telephone Bill (not more than 2 months old) leave and license agreement, Purchase agreement</p> <p>(vii) If permanent address & correspondence is different then separate address proof is to be provided. <i>In Case of nominee minor then the natural guardian stamp is required who is not the account holder.</i></p>	
2.	Minor	<p>(i) Pan Card of Minor Mandatory (Pan application letter not acceptable) should be verified from website of income tax department.</p> <p>(ii) Birth certificate of minor,</p> <p>(iii) Proof of address & proof of identity documents of the guardian required,</p> <p>(iv) Photocopy of Bank Statement having name & address of Minor required not more than 4 months old. The same proofs required to be given as the individual clients mentioned above.</p> <p>Photograph of minor and guardian required to be affixed with Signature of guardian across the photograph of minor with natural guardian stamp, same to applied in all signature places.</p>	
3.	HUF	<p>Account to be opened in the name of karta</p> <p>(i) Pan Card of HUF</p> <p>(ii) Karta photoproof required</p> <p>(iii) HUF declaration signed by karta with stamp of HUF (providing name of members, D.O.B. & relation with karta & signature)</p> <p>(iv) Photocopy of Bank statement of HUF (not less than 2 months old)</p> <p>(v) HUF cannot open joint account and no nomination is to be made.</p>	
4.	NRI Account	<p>Repatriation:</p> <p>(i) Pan Card Mandatory</p> <p>(ii) Same photo proof required as mentioned above in individual,</p> <p>(iii) Valid address proof of local and foreign required as mentioned above individual.</p> <p>(iv) RBI approval for acquiring shares/ securities.</p> <p>(v) Bank Mandate,</p> <p>(vi) Photocopy of Passport required,</p> <p>(vii) Non- repatriable:</p> <p>Non-repatriable :</p> <p>(i) Pan Card Mandatory</p> <p>(ii) Letter of undertaking not to remit money abroad</p> <p>(iii) Bank mandate, if address is given is of foreign address then should be attested by notary public/ any court/ magistrate/ Judge/ Official of Indian Embassy/Consulate General of the country where the NRI /FN is residing.</p> <p>(iv) Photocopy of Passport required.</p> <p>Foreign National:</p> <p>(i) Pan Card Mandatory</p> <p>(ii) RBI approval for acquiring shares/ Securities</p> <p>(iii) Bank mandate, if address is given is of foreign address then should be attested by notary public/ any court/ magistrate/ Judge/ Official of Indian Embassy/Consulate General of the country where the NRI /FN is residing.</p> <p>(iv) Photocopy of Passport required.</p>	



ASHIKA STOCK BROKING LIMITED

Depository Participant of Central Depository Services (I) Ltd.

Regd. & Corporate Office : 1008, 10th Fl, Raheja Centre

214, Nariman Point, Mumbai-400 021

Ph. : (022) 6611-1700 • Fax : (022) 6611-1710

Head Office : Trinity, 226/1, A.J.C. Bose Road, 7th Floor, Kolkata-700020

Ph. : 2283-9952 • Fax : 2289-1555 • E-Mail : dpervices@ashikagroup.com

DP ID No. 12034500, SEBI Regn. No. : IN-DP-CDSL-250-2004

Charges for the Depository Services

(Schedule A with effect from 1st July 2008)

Charges / Scheme	Smart Traders Scheme (With POA) A	Investors Scheme B	Scheme A is with POA to Ashika Stock Broking Ltd.
Account Opening Charges	Nil	Nil	Stamp paper charges applicable
Account Closing Charges	Nil	Nil	-
Annual Account Maintenance	Rs. 500/- p.a.	Rs. 200/- p.a.	Upfront Charges
Market Sale Charges	Nil	Rs. 15/-	Per Transaction
Purchase	Nil	Nil	-
Dematerialisation	Rs. 2 per Certificate	Rs. 2 per Certificate	Plus Postal Charges of Rs. 30/- per DRF
Dematerialisation Rejection	20/-	20/-	-
Rematerialisation	Rs. 15 per Certificate	Rs. 15 per Certificate	Plus CDSL Charges
Pledge Creation	0.02% of the value (Minimum Rs. 50/- per Transaction)	0.02% of the value (Minimum Rs. 50/- per Transaction)	-
Freeze or De-freeze	Rs. 25/-	Rs. 25/-	Per Request
Off market and/or Inter DP transfer	Rs. 10/-	Rs. 15/-	Per Transaction
Custody Charges	Nil	Nil	-
Internet access Charges	Nil	Nil	-
Holding & Transaction Statements Charges	Nil	Nil	Extra statements shall be charged at Rs. 20/-

- Ashika Stock Broking Ltd reserves the right to change the tariff by providing 30 days notice and this will be binding on all.
- Clients must ensure that their name, Client ID and Bill Number is written behind every cheque/demand draft deposited by them & Cheque dishonors charges Rs. 50/- + Bank Charges.
- Extra Account Maintenance Charges for corporate A/c, Rs. 500/- p. a.
- Service Tax as applicable would be levied.
- I/We agree to pay the charges as set out herein above subject to any change therein from time to time and specifically authorise you to debit all type of dues/charges as set out herein above to my /our Trading account ledger no _____ having with you in the NSE and/or BSE Segment.

I/We accept the above scheme: -

A	
---	--

B	
---	--

14/14

Signature of First Holder

Signature of Second Holder

Signature of Third Holder

Note : Schedule "A" is part of the agreement, please attach this with the agreement.