

Form No. MGT-9

EXTRACT OF ANNUAL RETURN
As on the financial year ended on 31.03.2019

[Pursuant to section 92(3) of the Company Act, 2013 and rule 12(1) of the Company (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:	
1. CIN:	U30009WB2000PLC091674
2. Registration Date:	12/04/2000
3. Name of the Company	Ashika Capital Ltd
4. Category / Sub-Category of the Company:	Company limited by shares / Indian non government company
5. Address of the Registered office and contact details:	Trinity, 226/1, A.J.C.Bose Road, 7th Floor, Kolkata- 700020 , Tel : (033) 40102500, fax : (033) 40102543 Email id- secretarial@ashikagroup.com
6. Whether listed company Yes / No	NO
7. Name, Address and Contact details of Registrar and Transfer Agent, if any -	Niche Technologies Pvt Ltd 7th Floor, Room, No. 7A & 7B,3A, Auckland Road, Elgin, Kolkata, 700017, West Bengal Tel: (033) 2280 6616/7271, Fax : (033) 2280 6619

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Merchant Banking fee & other related activities	661	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANY -

S. NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable section
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IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on April 01, 2018)				No. of Shares held at the end of the year (As on March,31, 2019)				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. PROMOTERS									
(1) Indian									
a) Individual/HUF	10,50,000	-	10,50,000	100	10,50,000	-	10,50,000	80.15	(19.85)
b) Central Govt	-	-	-	-	-	-	-	-	-
c) State Govt (s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	-	-	-	-	2,60,000*	-	2,60,000*	19.85	19.85
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1):-	10,50,000	-	10,50,000	100	13,10,000	-	13,10,000	100	Nil

Sub-total (B)(2):-	-	-	-	-	-	-	-	-	-
Total Public Shareholding (B)=(B)(1)+(B)(2)	-	-	-	-	-	-	-	-	-
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	10,50,000	-	10,50,000	100	13,10,000	-	13,10,000	100	Nil

* Allotment of 2,60,000 shares was done in demat form and Corporate action with DP was executed on 18.04.2019

(ii) Shareholding of Promoters

Sl. No	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			%change in Share - holding during the year
		No. of Shares	% of total Shares of the company	%of Shares Pledged/ encumbered to total shares	No. of Shares	% of total Shares of the company	%of Shares Pledged/ encumbered to total shares	
1	Pawan Jain, Karta of Pawan Jain (HUF)	10,49,400	99.9430	Nil	10,49,400	80.1070	Nil	(19.8360)
2	Mr.Daulat Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
3	Mrs.Roshni Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
4	Mrs. Kanchan Devi Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
5	Mr. Puranmal Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
6	Mrs. Shashi Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
7	Mr. Pawan Jain	100	0.0095	Nil	100	0.0076	Nil	(0.0019)
8	Ashika Global Securities Pvt Ltd	-	-	-	2,60,000	19.8474	-	19.8474
	Total	10,50,000	100	Nil	13,10,000	100	Nil	Nil

(iii) Change in Promoters' Shareholding

Sl. No.		Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year				
	1. Pawan Jain, Karta of Pawan Jain (HUF)	10,49,400	99.9430	10,49,400	99.9430
	2. Daulat Jain	100	0.0095	100	0.0095
	3. Roshni Jain	100	0.0095	100	0.0095
	4. Shashi Jain	100	0.0095	100	0.0095
	5. Pawan Jain	100	0.0095	100	0.0095
	6. Puranmal Jain	100	0.0095	100	0.0095
	7. Kanchan Devi Jain	100	0.0095	100	0.0095
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)	During the year under review, on 29 th March, 2019, Company has made allotment of 2,60,000 equity shares of the Company on a private placement basis to Ashika Global Securities Private Limited.			
	At the end of the year				
	1. Pawan Jain, Karta of Pawan Jain (HUF)	10,49,400	80.1070	10,49,400	80.1070

2. Daulat Jain	100	0.0076	100	0.0076
3. Roshni Jain	100	0.0076	100	0.0076
4. Shashi Jain	100	0.0076	100	0.0076
5. Pawan Jain	100	0.0076	100	0.0076
6. Puranmal Jain	100	0.0076	100	0.0076
7. Kanchan Devi Jain	100	0.0076	100	0.0076
8. Ashika Global Securities Private Limited	2,60,000	19.8474	2,60,000	19.8474

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the year	Apart from promoter holding as mentioned above, there are no other holding.			
	Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)				
	At the End of the year(or on the date of separation, if separated during the year)				

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.	For each of the Directors and KMP	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
	At the beginning of the Year Director & KMP • Pawan Jain @ • Daulat Jain • Sagar Jain • Chirag Jain , CEO	100 100 Nil Nil	0.0095 0.0095 Nil Nil	100 100 Nil Nil	0.0095 0.0095 Nil Nil
	Date wise Increase / Decrease in Share holding during the year	Percentage change in shareholding due to increase in issued, subscribed and paid up share capital of the Company on 29.03.2019 to Rs. 13,10,000/-			
	At the end of the Year Director & KMP • Pawan Jain • Daulat Jain • Sagar Jain • Chirag Jain, CEO	100 100 Nil Nil	0.0076 0.0076 Nil Nil	100 100 Nil Nil	0.0076 0.0076 Nil Nil

@Pawan Jain is holding shares as Karta of Pawan Jain HUF

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

(Amount in Rs)

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil
Change in Indebtedness during the financial year				
• Addition	3,63,96,658		-	
• Reduction	3,63,96,658		-	
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial year	Nil	Nil	Nil	Nil
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Sl. no.	Particulars of Remuneration	Name of MD/WTD/ Manager	Total Amount
1	Gross salary	-	-
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961		
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit		
	- Other, specify		
5	Other, please specify	-	-
	Total (A)		-
	Ceiling as per the Act	-	-

B. Remuneration to other directors:

Sl. no	Particulars of Remuneration	Name of Directors			Total Amount
		Pawan Jain	Daulat Jain	Sagar Jain	
1.	Independent Directors				
	• Fee for attending board meeting	-	-	-	-
	• Commission	-	-	-	-
	• Others, please specify	-	-	-	-

	Total(1)	-	-	-	-
2.	Other Non-Executive Directors				
	• Fee for attending board meetings	-	-	-	-
	• Commission	-	-	-	-
	• Others, please specify	-	-	-	-
	Total (2)	-	-	-	-
	Total (B)=(1+2)	-	-	-	-
	Total Managerial Remuneration	No Remuneration is paid			
	Overall Ceiling as per the Act	No Commission or other remuneration apart from sitting fees is being paid to Non Executive Directors.			

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl. no	Particulars of Remuneration	Key Managerial Personnel	
		Chirag Jain , CEO	Total
1.	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	56,73,003	56,73,003
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary u/s 17(3) Income-tax Act, 1961	-	-
2.	Stock option	-	-
3.	Sweat Equity	-	-
4.	Commission	-	-
	- as % of profit		
	- Other, specify		
5.	Other, please specify	-	-
	Total (A)	56,73,003	56,73,003

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty			None		
Punishment					
Compounding					
B. DIRECTORS					
Penalty			None		
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment					
Compounding					

Place: Kolkata
Date: 24.06.2019

For and on behalf of the Board of Directors
For, Ashika Capital Ltd

(Daulat Jain)
Director
DIN: 00040088

(Pawan Jain)
Director
DIN: 00038076