

Annexure "A" to the Board's Report

Form No. MGT-9

EXTRACT OF ANNUAL RETURN As on the financial year ended on 31.03.2020

[Pursuant to section 92(3) of the Company Act, 2013 and rule 12(1) of the Company (Management and Administration) Rules,

2014]

I. REGISTRATION AN	ND OTHER DETAILS:
1. CIN:	U30009WB2000PLC091674
2. Registration Date:	12/04/2000
3. Name of the Company	Ashika Capital Ltd
4. Category / Sub-Category of the Company:	Company limited by shares/Indian non government company
5. Address of the Registered office and contact details:	Trinity, 226/1, A.J.C.Bose Road, 7th Floor, Kolkata- 700020 , Tel : (033) 40102500, fax : (033) 40102543 Email id- secretarial@ashikagroup.com
6. Whether listed company Yes / No	NO
7. Name, Address and Contact details of Registrar and Transfer Agent, if any –	Niche Technologies Pvt Ltd 7th Floor, Room, No. 7A & 7B,3A, Auckland Road, Elgin, Kolkata, 700017, West Bengal Tel: (033) 2280 6616/7271, Fax : (033) 2280 6619

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

S1. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Merchant Banking fee & other related	661	100
	activities		

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANY -

S. No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY / ASSOCIATE	% of shares held	Applicable section

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year (As on April 01, 2019)			No. of Shares held at the end of the year (As on March,31, 2020)				% Change during	
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	the year
A. PROMOTERS (1) Indian a) Individual/HUF	10,50,000	-	10,50,000	80.15	10,50,000	-	10,50,000	80.15	-
b)Central Govt	-	-	-	-	-	-	-	-	-



c) State Govt (s)	-	-	-	-	-	-	-	-	-
d) Bodies Corp.	2,60,000	-	2,60,000	19.85	2,60,000	-	2,60,000	19.85	-
e) Banks / FI	-	-	-	-	-	-	-	-	-
f) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (1):-	13,10,000	-	13,10,000	100	13,10,000	-	13,10,000	100	-
(2) Foreign	-	-	-	-	-	-	-	-	-
a)NRIs - Individuals	-	-	-	-	-	-	-	-	-
b) Other Individuals	-	-	-	-	-	-	-	-	-
c) Bodies Corp.	-	-	-	-	-	-	-	-	-
d) Banks / FI	-	-	-	-	-	-	-	-	-
e) Any Other	-	-	-	-	-	-	-	-	-
Sub-total (A) (2):-	-	-	-	-	-	-	-	-	-
Total Share holding of Promoter (A) = (A)(1)+(A)(2)	13,10,000	-	13,10,000	100	13,10,000	-	13,10,000	100	-
B. PUBLIC SHAREHOLDING 1. Institutions a)Mutual Funds	-	-	-	-	-	-	-	-	-
b)Banks / FI	-	-	-	-	-	-	-	-	-
c) Central Govt	-	-	-	-	-	-	-	-	-
d) State Govt(s)	-	-	-	-	-	-	-	-	-
e) Venture Capital Funds	-	-	-	-	-	-	-	-	-
f) Insurance co	-	-	-	-	-	-	-	-	-
g) FIIs	-	-	-	-	-	-	-	-	-
h) Foreign Venture Capital Funds	-	-	-	-	-	-	-	-	-
i) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(1):-	-	-	-	-	-	-	-	-	-
2.Non -Institutions a) Bodies Corp. i) Indian	-	-	-	-	-	-	-	-	-
ii) Overseas	-	-	-	-	-	-	-	-	-
b)Individuals i) Individual share holders holding nominal share capital upto Rs. 1 lakh	-	-	-	-	-	-	-	-	-
ii) Individual share- holders holding nominal share capital in excess of Rs 1 lakh	-	-	-	-	-	-	-	-	-
c) Others (specify)	-	-	-	-	-	-	-	-	-
Sub-total (B)(2):-	-	-	-	-	-	-	-	-	-
Total Public	-	-	-	-	-	-	-	-	-



Shareholding (B)=(B)(1)+(B)(2)									
C. Shares held by Custodian for GDRs & ADRs	-	-	-	-	-	-	-	-	-
Grand Total (A+B+C)	13,10,000	-	13,10,000	100	13,10,000	-	13,10,000	100	-

(ii) Shareholding of Promoters

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S1. No	Shareholder's Name		ing at the be	ginning of the	Share hold			
INU	Name	year						
		No. of	% of total	% of Shares	No. of	% of total	% of Shares	%change
		Shares	Shares of	Pledged/	Shares	Shares of	Pledged/	in
			the	encumbered to		the	encumbered	Share -
			company	total shares		company	to total	holding
							shares	during the
								year
								5
1	Pawan Jain, Karta	10,49,400	80.1070	Nil	10,49,400	80.1070	Nil	Nil
	of Pawan Jain							
	(HUF)							
2	Mr.Daulat Jain	100	0.0076	Nil	100	0.0076	Nil	Nil
3	Mrs.Roshni Jain	100	0.0076	Nil	100	0.0076	Nil	Nil
4	Mrs. Kanchan	100	0.0076	Nil	100	0.0076	Nil	Nil
	Devi Jain							
5	Mr. Puranmal Jain	100	0.0076	Nil	100	0.0076	Nil	Nil
6	Mrs. Shashi Jain	100	0.0076	Nil	100	0.0076	Nil	Nil
7	Mr. Pawan Jain	100	0.0076	Nil	100	0.0076	Nil	Nil
8	Ashika Global	2,60,000	19.8474	Nil	2,60,000	19.8474	Nil	Nil
	Securities Pvt Ltd							
	Total	13,10,000	100	Nil	13,10,000	100	Nil	Nil

(iii) Change in Promoters' Shareholding

	Shareholding a the year 01.04.2	it the beginning of 2019	Cumulative Shareholding during the year 31.03.2020		
	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	
At the beginning of the year	13,10,000 100		13,10,000	100	
Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc)		No Change during the	year 2019-2020		
At the end of the year	13,10,000	100	13,10,000	100	



(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

	Shareholdin beginning o 01.04.2019			e Shareholding year 31.03.2020		
For Each of the Top 10 Shareholders	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company		
At the beginning of the year Date wise Increase / Decrease in Promoters Share holding during the year specifying the reasons for increase / decrease (e.g. allotment / transfer / bonus/ sweat equity etc) At the End of the year(or on the date of separation, if separated during the year)	Apart from	company company Apart from promoter holding as mentioned above, there are no other holding.				

(v) Shareholding of Directors and Key Managerial Personnel:

Sl. No.		Shareholdin beginning o 01.04.2019		Cumulative the year 31.	e Shareholding during 03.2020	
	For each of the Directors and KMP	No. of shares	% of total shares of the company	No. of shares	% of total shares of the company	
	At the beginning of the Year Director & KMP • Pawan Jain @ • Daulat Jain • Sagar Jain • Chirag Jain , CEO Date wise Increase / Decrease	100 100 Nil Nil	0.0076 0.0076 Nil Nil No Change in sha	100 0.0076 100 0.0076 Nil Nil Nil Nil reholding during the year 100		
	 in Share holding during the year At the end of the Year Director & KMP Daulat Jain Sagar Jain Chirag Jain, Executive Director CEO 	100 Nil Nil	0.0076 Nil Nil	100 Nil Nil	0.0076 Nil Nil	

@resigned from the company wef 01.09.2019

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment

		r	2	amount in Rs)
	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	Nil	Nil	Nil	Nil



i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil
Change in Indebtedness during the	Nil	Nil	Nil	Nil
financial year				
Addition				
Reduction				
Net Change	Nil	Nil	Nil	Nil
Indebtedness at the end of the financial	Nil	Nil	Nil	Nil
year				
i) Principal Amount				
ii) Interest due but not paid				
iii) Interest accrued but not due				
Total (i+ii+iii)	Nil	Nil	Nil	Nil

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S1.	Particulars of Remuneration	Daulat Jain	Chirag Jain ,	Total Amount	
no.			ED & CEO@		
1	Gross salary	NT1		EC 9E 094	
	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil	56,85,084	56,85,084	
	(b) Value of perquisites u/s				
	17(2) Income-tax Act, 1961				
	(c) Profits in lieu of salary under				
	section 17(3) Income-tax Act, 1961				
2	Stock option				
3	Sweat Equity				
4	Commission				
	- as % of profit				
	- Other, specify				
5	Other, please specify				
	Total (A)		56,85,084	56,85,084	
	Ceiling as per the Act	Within the limits as per section 197 read with schedule V of Companies Act 2013			

@appointed as Executive Director wef 3108.2019.

B. Remuneration to other directors:

	Particulars of Remuneration	Name of Directors	Total Amount
1	 Independent Directors Fee for attending board meeting Commission Others, please specify 	No sitting fee is being paid to any c	lirector of the company



C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

Sl.	Particulars of Remuneration	Key Managerial Personnel		
no				
		Chirag Jain , Executive Director & CEO@	Total	
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 (b) Value of perquisites u/s 17(2) Income-tax Act, 1961 (c) Profits in lieu of salary u/s 17(3) Income-tax Act, 1961	56,85,084 -	56,85,084 -	
2.	Stock option	-	-	
3.	Sweat Equity	-	-	
4.	Commission - as % of profit - Other, specify	-	-	
5.	Other, please specify	-	-	
	Total (A)	56,85,084	56,85,084	

@ appointed as Executive Director wef 31.08.2019, was receiving remuneration as CEO of the company

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Туре	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD/ NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY	•		•	•	•
Penalty	None				
Punishment					
Compounding					
B. DIRECTORS					
Penalty	None				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty			None		
Punishment]				
Compounding	1				

Place: Kolkata Date: 08.10.2020 For and on behalf of the Board of Director for Ashika Capital Ltd

SD/-(Chirag Jain) Executive Director & CEO DIN: 07648747